HE UNITED STATES PATENT AND TRADEMARK OFFICE

plication of Mindaugas F. Dautartas

Application No. 10/081,995

Attorney Docket No.Shipley 03-21; ACT - 179

Filed:

February 21, 2002

For:

METHOD FOR MAKING OPTICAL **DEVICES WITH A MOVING MASK** AND OPTICAL DEVICES MADE

THEREBY

Examiner: Kevin S. Wood

Group Art Unit: 2874

CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8(a)

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS, Alexandria, VA 22313-1450

10-22-2003

Date of Certificate

Commissioner of Patents Alexandria, VA 22313-1450

> INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or an Notice of Allowance. Accordingly, the fee required under 37 C.F.R. 1.97(c) is enclosed. In the event the enclosed fee is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit account no. 04-1406.

Applicants respectfully request full and proper consideration of the listed

information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN

A Professional Corporation

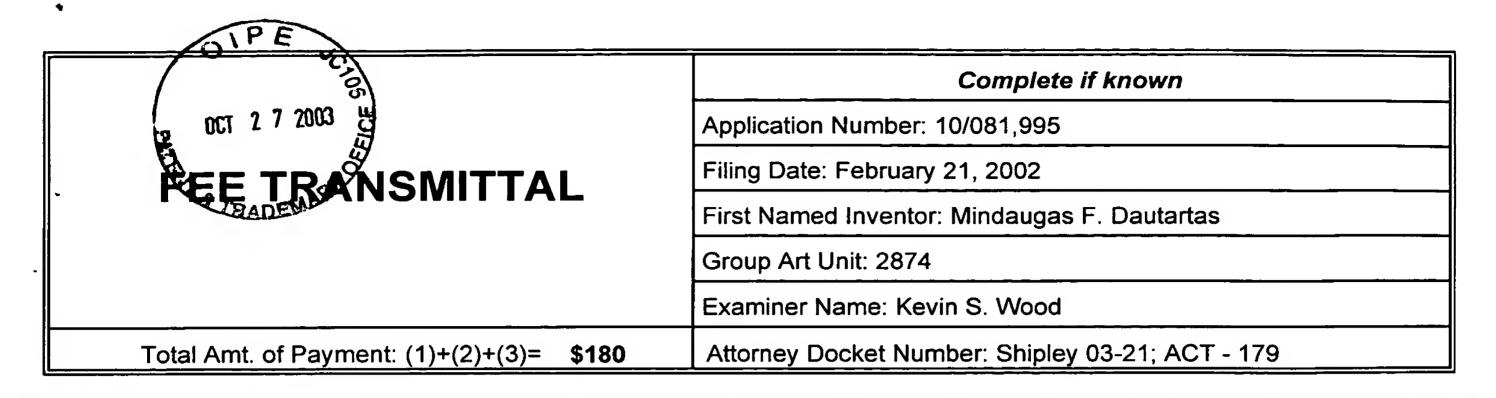
Agent for Applicant(s)

Niels Haun

PTO Registration No. 48,488

Telephone: (215) 563-4100 Facsimile: (215) 563-4044 Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449



METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)
	ADDITIONAL FEES
The Commissioner is hereby authorized to:	Fee Description Fee Paid
[] Charge indicated fees	Surcharge-late filing fee or oath
[X] Charge additional fees	Surcharge - late provisional filing fee or cover sheet
[X] Credit overpayments	Extension for response within first month
to the account of DANN, DORFMAN, HERRELL & SKILLMAN	Extension for response within second month
Deposit Account Number <u>04-1406</u>	Extension for response within third month
	Extension for response within fourth month
2. Payment enclosed:	Notice of Appeal
Check in the amount of \$180	Filing a brief in support of an appeal
	Request for oral hearing
FEE CALCULATION	Petition to revive unavoidably abandoned application
1. FILING FEE Fee	Petition to revive unintentionally abandoned application
Fee Description	Issue fee
Utility filing fee	Petitions to the Commissioner
Design filing fee	Petitions related to provisional applications
Plant filing fee	Submission of Information Disclosure Stmt. 180
. Reissue filing fee	Recording each patent assignment per property
Provisional filing fee	Other fee (specify) Advance Order (10 copies)
	Other fee (specify)
SUBTOTAL (1) \$0	
	SUBTOTAL (3)\$180
2. Claims	
Paid Extr Fee	
Total Claims - = 0 x 18 = 0	
Independent Claims - = 0 x 84 = 0	
Multiple Dependent (First presentation)	
SUBTOTAL (2) \$0	

Submitted	Ву:
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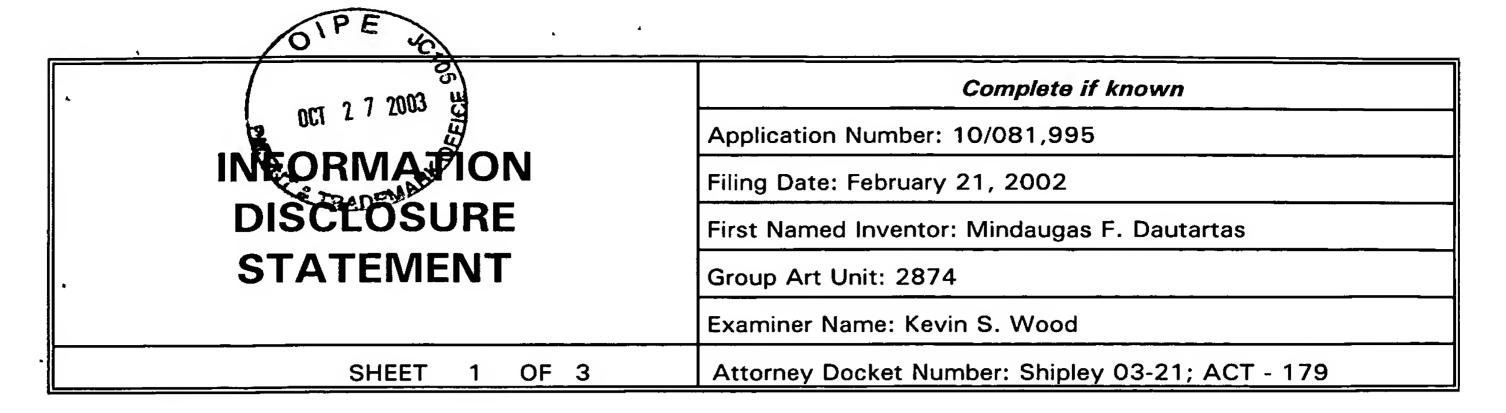
Printed Name Njels Haun

Reg. Number 48,488

Date October 22, 2003

Deposit Account User ID 04-1406

Signature



UNITED STATES PATENT DOCUMENTS				
EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
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***		4097118	06/27/1978	Hammer
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EXAMINER'S	DATE	
EVAIAILIAEU 2	DATE	
SIGNATURE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.

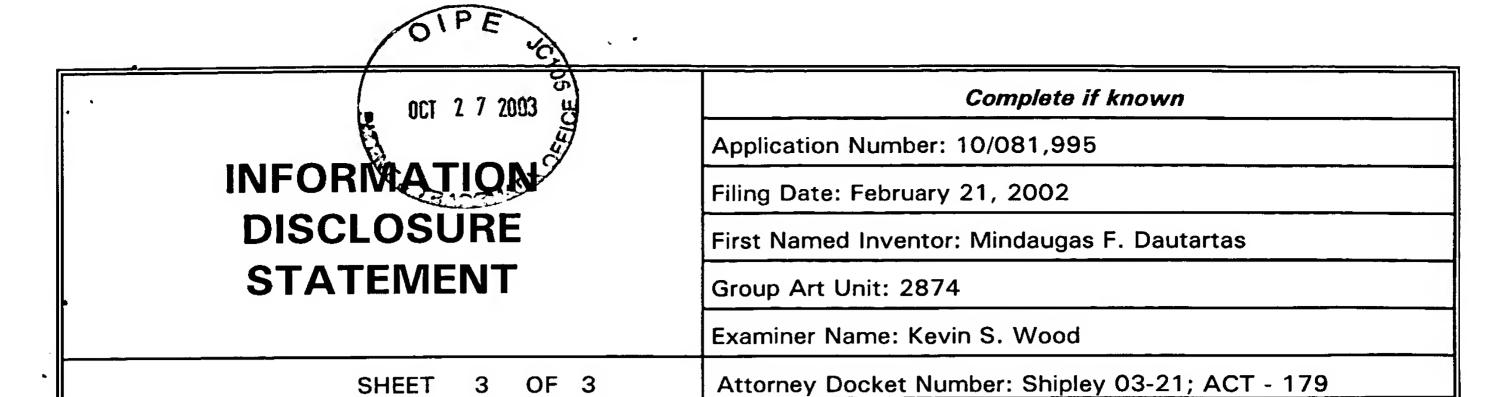
Complete if known Application Number: 10/081,995 Filing Date: February 21, 2002 First Named Inventor: Mindaugas F. Dautartas Group Art Unit: 2874 Examiner Name: Kevin S. Wood SHEET 2 OF 3 Attorney Docket Number: Shipley 03-21; ACT - 179

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	FOREIGN PATENT DOCUMENTS				
EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT
		WO 97/42534		11/13/1997	Harpin et al.
		WO 03/050580		06/19/2003	Luo et al.

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	WO 02/095453		11/28/2002	Luo et al.
	0687925	Europe	12/20/1995	Okanlwa

OTHER PRIOR ART - NON-PATENT DOCUMENTS				
EXAMINER'S CITE INITIALS NO.		Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
		I. F. Lealman, et al. "Tapered Active Layer Laser Device Performance and its Impact on Low Cost Optoelectronics", 4:00-4:30pm (Invited) EMGW2.2, pp. 11 and 12		
		Y. Shani, et al. "Efficient coupling of a semiconductor laser to an optical fiber by means of a tapered waveguide on silicon", Appl. Phys. Lett. 55 (23) 4 December 1989, pp. 2389-2391.		
		Spuhler, et al. "Very Short Planar Silica Spot-Size Converter." Journal of Lightwave Technology, Vol. 16, No. 9, pp. 1681-1685, September 1998.		

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SIGNATURE	CONSIDERED	

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